



DFW

Attorney Docket No: U008 (BPAY.0010005)

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of Timothy L. Robinson

Serial No.: 10/678,646

Examiner: To Be Assigned

Confirmation No.: 7815

Art Unit: 2131

Filed: 10/6/2003

For: A SYSTEM AND METHOD FOR ENROLLING IN A BIOMETRIC SYSTEM

Commissioner for Patents  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- ☒ In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- ☐ In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - ☐ the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - ☐ a statement as specified in 37 C.F.R. §1.97(e).
- ☐ In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- ☐ Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- ☐ Authorization to charge a credit card for the total fee is attached.
- ☒ A return receipt postcard is also enclosed.

Respectfully submitted,

Dated: 12/16/04

By:

Duane S. Kobayashi

Reg. No. 41122

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**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §1.97(c)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of document cited in the attached Form PTO/SB/08A is enclosed.
- ☒ Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- ☒ No copies of documents B1-B14 listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. 10/251,305, filed September 20, 2002, to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) \_\_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_\_ application serial no. \_\_\_ and mailed on \_\_\_.
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_\_ (copy enclosed) claims priority from this non-English publication.

- ☐ Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.
- ☐ Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08A.
- ☒ The Examiner's attention is directed to co-pending patent Application Serial No. 60/324,229, filed September 21, 2001, Application Serial No. 10/251,305, filed September 20, 2002, Application Serial No. 10/369,235, filed February 19, 2003, Application Serial No. 10/464,148, filed June 18, 2003, Application Serial No. 10/625,045, filed July 23, 2003, Application Serial No. 10/725,394, filed December 3, 2003, Application Serial No. 10/743,189, filed December 22, 2003, Application Serial No. 10/861,864, filed June 7, 2004, Application Serial No. 10/829,448, filed April 22, 2004, and Application Serial No. 10/840,784, filed May 7, 2004, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

Dated: 12/16/04

By:



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PTO/SB/08A (08-00)

Substitute for form 1449B/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<i>Complete if Known</i>	
				Application Number	10/678,646
				Filing Date	10/06/2003
				First Named Inventor	ROBINSON
				Group Art Unit	2131
Examiner Name	To Be Assigned				
Sheet	2	of	5	Attorney Docket Number	U008 (BPAY.0010005)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>7</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY
		Number	Kind Code <sup>8</sup> (if known)		
	A22	20020148892		Bardwell, William E.	10/2002
	A23	20020128851		Chefalas et al.	09/2002
	A24	20020116266		Marshall, Thaddeus	08/2002
	A25	20020112177		Voltmer et al.	08/2002
	A26	20020094111		Puchek et al.	07/2002
	A27	20020087413		Mahaffy et al.	07/2002
	A28	20020077902		Marcus, Dwight	06/2002
	A29	20020077890		LaPointe, Patrick L. et al.	06/2002
	A30	20020077889		Kolls	06/2002
	A31	20020062249		Iannacci, Gregory	05/2002
	A32	20020038165		McHale, John T. IV et al.	03/2002
	A33	20020029196		Metcalf et al.	03/2002
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	A35	20010042022		Kirkpatrick et al.	11/2001
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	A43	6,636,973		Novoa, et al.	10/2003
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	A46	6,529,878		De Rafael et al.	03/2003
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	A52	6,477,509		Hammons et al.	11/2002
	A53	6,470,233		Johnson, Jr.	10/2002
	A54	6,466,917		Goyal et al.	10/2002
	A55	6,463,127		Maier et al.	10/2002
	A56	6,446,044		Luth et al.	09/2002
	A57	6,433,818		Steinberg et al.	08/2002
	A58	6,424,249		Houvener	07/2002
	A59	6,422,464		Terranova	07/2002
	A60	6,415,262		Walker et al.	07/2002
	A61	6,397,198		Hoffman, et al.	05/2002
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	A63	6,389,401		Kepecs	05/2002
	A64	6,381,344		Smithies et al.	04/2002

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>7</sup> Unique citation designation number.

<sup>8</sup> See attached Kinds of U.S. Patent Documents

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		Number	Kind Code <sup>10</sup> (if known)		
	A65	6,370,649		Angelo et al.	04/2002
	A66	6,366,682		Hoffman, et al.	04/2002
	A67	6,345,263		Matsumoto et al.	02/2002
	A68	6,341,169		Cadorette, Jr. et al.	01/2002
	A69	6,334,109		Kanevsky et al.	12/2001
	A70	6,332,134		Foster	12/2001
	A71	6,330,543		Kepecs	12/2001
	A72	6,327,573		Walker et al.	12/2001
	A73	6,321,339		French et al.	11/2001
	A74	6,314,169		Schelberg, Jr. et al.	11/2001
	A75	6,310,966		Dulude et al.	10/2001
	A76	6,307,956		Black	10/2001
	A77	6,307,955		Zank et al.	10/2001
	A78	6,282,658		French et al.	08/2001
	A79	6,269,348		Pare, Jr. et al.	07/2001
	A80	6,246,751		Bergl et al.	06/2001
	A81	6,233,564		Schulze, Jr.	05/2001
	A82	6,230,148		Pare, Jr. et al.	05/2001
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	A85	6,192,142		Pare, Jr. et al.	02/2001
	A86	6,181,807		Setlak, et al.	01/2001
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	A103	5,943,423		Muftic	08/1999
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	A106	5,927,544		Kanoh et al.	07/1999
	A107	5,914,472		Foladare et al.	06/1999

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<sup>10</sup> See attached Kinds of U.S. Patent Documents

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	A108	5,897,616		Kanevsky et al.	04/1999
	A109	5,893,075		Plainfield , et al.	04/1999
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	A119	5,799,092		Kristol, et al.	08/1998
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	A141	5,352,876		Watanabe et al.	10/1994
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	A149	4,230,214		Cortez	10/1980

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OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	B1	S. Davies. Touching Big Brother. How Biometric Technology Will Fuse Flesh and Machine. Information Technology & People. Vol. 7 (4) 1994	
	B 2	Lawrence Aragon. Show me some ID. PC Week Online ( <a href="http://www.zdnet.com/pcweek/0112/12bio.html">http://www.zdnet.com/pcweek/0112/12bio.html</a> ) Jan. 1998	
	B 3	Gerald Lazar. Agencies Scan Biometrics for Potential Applications. FCW.com ( <a href="http://www.fcw.com.pubs.few.1997/0120/feature.htm">http://www.fcw.com.pubs.few.1997/0120/feature.htm</a> ) Jan. 1997	
	B 4	Comparison of Biometric Identification Methods. <a href="http://www.and.nl/id/gen_biom.html">http://www.and.nl/id/gen_biom.html</a> . Dec. 1998	
	B 5	The Speech Recognition API (SRAPI) Committee Announces Support for Speaker Verification. <a href="http://www.srapr.com/svapipr.html">http://www.srapr.com/svapipr.html</a> . Oct. 1996	
	B 6	New Technology Enables Computers to Identify, Verify User Voices. <a href="http://www.srapr.com/savpi/pr041597.html">http://www.srapr.com/savpi/pr041597.html</a> . Apr. 1997	
	B 7	Accounting 5544. Biometric Technology. <a href="http://acctserver.cob.vt.edu/faculty.jhicks/acct5544/present/ddeverea.htm">http://acctserver.cob.vt.edu/faculty.jhicks/acct5544/present/ddeverea.htm</a> . Apr. 1997	
	B 8	VAULT FAQs. <a href="http://www.ImagineNation.com/Xanadu/Vault">http://www.ImagineNation.com/Xanadu/Vault</a> . Jun. 1997	
	B 9	John Daugman. The Scientific Basis for Iris Recognition. <a href="http://www.iriscan.com/basis.htm">http://www.iriscan.com/basis.htm</a> . Dec. 1998	
	B 10	Electronic Banking 1. <a href="http://www.sjb.co.uk/eb1.html">http://www.sjb.co.uk/eb1.html</a> . Aug. 1998	
	B 11	Biometric Technology Today (Btt). Vol. 6(5) Sep. 1998	
	B 12	Biometric Digest. Mar. 1998	
	B 13	Biometrics in Human Services. Vol. 2(1) Feb. 1998	
	B 14	"The Biometric Bar Tab Project." <a href="http://www.csee.wvu.edu/~wise/biometric/index.htm">http://www.csee.wvu.edu/~wise/biometric/index.htm</a> , including December 9, 2002 Proposal and May 5, 2003 Team Report.	

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<sup>2</sup> Applicant is to place a check mark here if English language Translation attached.